

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TEXAS  
MARSHALL DIVISION**

XR COMMUNICATIONS, LLC, dba  
VIVATO TECHNOLOGIES,

Plaintiff,

v.

AT&T SERVICES INC.; AT&T MOBILITY  
LLC; and AT&T CORP.,

Defendants.

Case No. 2:23-cv-00202-JRG-RSP  
(Lead Case)

**JURY TRIAL DEMANDED**

**DEFENDANTS / INTERVENOR'S NOTICE OF  
PRIOR ART PURSUANT TO 35 U.S.C. § 282**

Pursuant to 35 U.S.C. § 282, Defendants AT&T Corp., AT&T Mobility LLC, and AT&T Services, Inc. ("AT&T"), Verizon Communications, Inc. and Cellco Partnership d/b/a Verizon Wireless ("Verizon"), T-Mobile USA, Inc. ("T-Mobile"), and Intervenor Ericsson Inc. ("Ericsson") (collectively, "Defendants/Ericsson") hereby provide Plaintiff XR Communications, LLC dba Vivato Technologies ("XR") with notice of the prior art references and things upon which they may rely at trial to provide the invalidity of U.S. Patent Nos. 7,177,369, 8,737,511, and 10,715,235 (the "Asserted Patents") and/or the state of the art. Defendants/Ericsson also provide to XR a list of names and addresses of persons who may be relied upon as either a prior inventor, as having prior knowledge of the claimed inventions, and/or as having knowledge of prior use or offers for sale of the claimed invention.

Defendants/Ericsson reserve the right to amend, modify, or supplement this notice, consistent with the provisions of § 282.

In addition to the items identified below, Defendants/Ericsson incorporate by reference all prior art and information disclosed in their objections and responses to XR's interrogatories, the

Opening Expert Report of Dr. Kevin Negus, Defendants’/Ericsson’s invalidity contentions and claim charts, as well as Defendants’/Ericsson’s pleadings in this case.

**I. Patents, Patent Publications, and Publications**

**TABLE 1: Prior Art Patents, Patent Applications, and Publications Anticipating and/or Rendering Obvious the Asserted Claims of the Asserted Patents**

<u>Number/Title</u>	<u>Country</u>	<u>Earliest Priority Date</u>	<u>Issue/Publication Date</u>	<u>Asserted Patents</u>
6,359,923 (“Agee”)	U.S.	December 18, 1997	March 19, 2002	’369 Patent ’235 Patent
6,940,827 (“Li”)	U.S.	March 9, 2001	September 6, 2005	’369 Patent
8,816,907 (“Beaudin”)	U.S.	November 7, 2011	August 26, 2014	’511 Patent
2011/0150050 (“Trigui”)	U.S.	December 23, 2009	June 23, 2011	’511 Patent
8,238,318 (“Negus”)	U.S.	August 17, 2011	August 7, 2012	’511 Patent
7,224,758 (“Banister”)	U.S.	February 16, 2002	May 29, 2007	’235 Patent
6,738,020 (“Lindskog”)	U.S.	July 31, 2001	May 18, 2004	’235 Patent
6,888,809 (“Foschini”)	U.S.	January 13, 2000	May 3, 2005	’235 Patent
2001/0031647 (“Scherzer”)	U.S.	December 1, 1999	October 18, 2001	’235 Patent
6,124,824 (“Xu”)	U.S.	January 29, 1999	September 26, 2000	’235 Patent
6,175,550 (“van Nee”)	U.S.	April 1, 1997	January 16, 2001	’235 Patent
7,139,324 (“Ylitalo”)	U.S.	June 2, 2000	November 21, 2006	’235 Patent
2003/0068983 (“Kim 983”)	U.S.	May 25, 2001	April 10, 2003	’235 Patent

<b><u>Number/Title</u></b>	<b><u>Country</u></b>	<b><u>Earliest Priority Date</u></b>	<b><u>Issue/Publication Date</u></b>	<b><u>Asserted Patents</u></b>
2002/0190901 (“Yoshida 901”)	U.S.	June 5, 2002	December 19, 2003	’235 Patent
2008/0170533 (“Cyzs”)	U.S.	February 13, 2002	July 17, 2008	’235 Patent
WO2000001078A1 (“Harrison”)	PCT	June 22, 1999	January 6, 2000	’235 Patent
2002/0060643 (“Levy 643”)	U.S.	September 20, 2001	May 23, 2002	’235 Patent
WO2002047286A2 (“Hottinen 286”)	PCT	December 6, 2000	June 13, 2002	’235 Patent
7,155,231 (“Burke”)	U.S.	February 8, 2002	December 26, 2006	’235 Patent
5,933,421 (“Alamouti”)	U.S.	February 6, 1997	August 3, 1999	’235 Patent
6,141,335 (“Kuwahara”)	U.S.	December 4, 1997	October 31, 2000	’235 Patent
6,067,290 (“Paulraj 290”)	U.S.	July 30, 1999	May 23, 2000	’235 Patent
5,828,658 (“Ottersten”)	U.S.	October 23, 1996	October 27, 1998	’235 Patent
5,471,647 (“Gerlach 647”)	U.S.	April 14, 1993	November 28, 1995	’235 Patent
2002/0018530 (“Kim 530”)	U.S.	July 23, 2001	February 14, 2002	’235 Patent
6,947,707 (“Raghothaman”)	U.S.	June 29, 2001	September 20, 2005	’235 Patent
6,553,012 (“Katz”)	U.S.	February 13, 1997	April 22, 2003	’235 Patent
2003/0125040 (“Walton 040”)	U.S.	November 6, 2001	July 3, 2003	’235 Patent

<b><u>Number/Title</u></b>	<b><u>Country</u></b>	<b><u>Earliest Priority Date</u></b>	<b><u>Issue/Publication Date</u></b>	<b><u>Asserted Patents</u></b>
7,248,841 (“Agee 841”)	U.S.	June 13, 2000	July 24, 2007	’235 Patent ’511 Patent
6,317,586 (“Haardt 586”)	U.S.	January 28, 1999	November 13, 2001	’235 Patent
6,141,567 (“Youssefmir 567”)	U.S.	June 7, 1999	October 31, 2000	’235 Patent
2004/0018818 (“Hottinen 818”)	U.S.	December 6, 2000	January 29, 2004	’235 Patent
6,031,877 (“Saunders 877”)	U.S.	December 16, 1996	February 29, 2000	’235 Patent
JP2002290317 (“Jitsukawa”)	Japan	October 4, 2002	October 4, 2002	’235 Patent
2002/00131381 (“Kim 381”)	U.S.	October 11, 2001	September 19, 2002	’235 Patent
7,116,723 (“Kim 723”)	U.S.	July 23, 2001	October 3, 2006	’235 Patent
2002/0158801 (“Crilly”)	U.S.	April 27, 2001	October 31, 2002	’235 Patent
5,634,199 (“Gerlach 199”)	U.S.	April 17, 1995	May 27, 1997	’235 Patent ’511 Patent
6,895,258 (“Scherzer 258”)	U.S.	August 14, 2000	May 17, 2005	’235 Patent
6,760,388 (“Ketchum”)	U.S.	December 7, 2001	July 6, 2004	’235 Patent
6,473,036 (“Proctor 036”)	U.S.	February 2, 2001	October 29, 2002	’235 Patent
6,947,748 (“Li 748”)	U.S.	December 15, 2000	September 20, 2005	’369 Patent
6,252,914 (“Yamamoto”)	U.S.	July 20, 1999	June 26, 2001	’369 Patent
2009/0280866 (“Lo”)	U.S.	November 14, 2006	November 12, 2009	’511 Patent

<u>Number/Title</u>	<u>Country</u>	<u>Earliest Priority Date</u>	<u>Issue/Publication Date</u>	<u>Asserted Patents</u>
2006/0166721 (“Sun”)	U.S.	October 31, 2005	July 27, 2006	’511 Patent
2007/0280387 (“Li 387”)	U.S.	May 24, 2007	December 6, 2007	’511 Patent
7,620,370 (“Barak”)	U.S.	January 15, 2007	November 17, 2009	’511 Patent
9,252,908 (“Branlund”)	U.S.	April 12, 2012	February 2, 2016	’511 Patent
2009/0322608 (“Adams”)	U.S.	June 25, 2008	December 31, 2009	’511 Patent
2002/0132600 (“Rudrapatna”)	U.S.	January 17, 2001	September 19, 2002	’511 Patent
8,442,449 (“Hui”)	U.S.	November 8, 2010	May 14, 2013	’511 Patent
6,870,515 (“Kitchener”)	U.S.	October 11, 2001	March 22, 2005	’511 Patent
8,134,504 (“U.S.”)	U.S.	December 1, 2009	March 13, 2012	’511 Patent
2002/0132600 (“Rudrapatna 600”)	U.S.	January 17, 2001	September 19, 2002	’511 Patent
2009/0322608 (“Adams”)	U.S.	June 25, 2008	December 31, 2009	’511 Patent
2009/0010356 (“Engstrom”)	U.S.	January 4, 2006	January 8, 2009	’511 Patent
6,611,231 (“Crilly 231”)	U.S.	October 12, 2001	August 26, 2003	’511 Patent
8,204,151 (“Kim 151”)	U.S.	January 8, 2009	June 19, 2012	’511 Patent
8,879,470 (“Zhang”)	U.S.	February 27, 2009	November 4, 2014	’511 Patent

<u>Number/Title</u>	<u>Country</u>	<u>Earliest Priority Date</u>	<u>Issue/Publication Date</u>	<u>Asserted Patents</u>
8,385,305 (“Negus 305”)	U.S.	April 16, 2012	February 26, 2013	’511 Patent
8,649,418 (“Negus 418”)	U.S.	February 2, 2013	February 11, 2014	’511 Patent
8,989,762 (“Negus 762”)	U.S.	December 5, 2013	March 24, 2015	’511 Patent
8,502,733 (“Negus 733”)	U.S.	February 10, 2012	August 6, 2013	’511 Patent
8,422,540 (“Negus 540”)	U.S.	September 10, 2012	April 16, 2013	’511 Patent
8,761,100 (“Negus 100”)	U.S.	October 11, 2011	June 24, 2014	’511 Patent

**TABLE 2: Non-Patent Prior Art Including Publications and Items Used, Known of, and/or Offered for Sale that Anticipate and/or Render Obvious the Asserted Claims of the Patents-in-Suit**

<u>Name</u>	<u>Author or Publisher</u>	<u>Publication Date</u>	<u>Asserted Patent</u>
“Multiuser OFDM with Adaptive Subcarrier, Bit and Power Allocation,” IEEE J. Select Areas Comm., Vol. 17(10), pp. 1747-1758 (“Wong”)	Cheong Yui Wong, R.S. Cheng, K.B. Lataief, R.D. Murch	October 1999	’369 Patent
“An investigation into time-domain approach for OFDM channel estimation,” IEEE Trans. Broadcasting, Vo. 46, no. 4. pp. 240-248 (“Minn”)	H. Minn, V.K. Bhargava	December 2000	’369 Patent
“An Overview of Smart Antenna Technology For Mobile Communications Systems,” IEEE Comm. Surveys, Q4 1999, Vol. 2, No. 4 (“Lehne”)	Per Lehne	1999	’369 Patent ’235 Patent ’511 Patent

<u>Name</u>	<u>Author or Publisher</u>	<u>Publication Date</u>	<u>Asserted Patent</u>
3GPP TSG RAN WG1 R1-99c10 (“Motorola 3GPP”)	Motorola	August 30-September 3, 1999	’235 Patent
Space-Time Signaling in Multi-Antenna Systems (“Heath 2001”)	Robert W. Heath Jr.	November 2001	’235 Patent
“Joint Transmitter-Receiver Optimization in Synchronous Multiuser Communications over Multipath Channels,” 46 IEEE Trans. Comm. 269 (“Jang”)	Won Mee Jang, Branimir R. Vojcic, Raymond Pickholtz	February 1998	’235 Patent
“Transmit Diversity Using Filtered Feedback Weights in the FDD/WCDMA System,” 2000 Int’l Zurich Seminar on Broadband Commc’s Accessing Transmission Network Proceedings 15 (“Hottinen 2000”)	Ari Hottinen, Risto Wichman	2000	’235 Patent
“Base Station Transmitter Antenna Arrays with Mobile to Base Feedback,” 1993 Asilomar Conf. on Signals Systems and Computers 1432 (“Gerlach 1993”)	Derek Gerlach, Arogyaswami Paulraj	1993	’235 Patent
“Transmit Diversity in 3G CDMA Systems,” 40 IEEE Commc’ns Magazine 68, April 2002 (“Dewberry”)	R. Thomas Dewberry, Steven D. Gray, D. Mihai Ionescu, Giridhar Mandyam, Balaji Raghothaman	April 2002	’235 Patent
“Opportunistic Beamforming Using Dumb Antennas,” 48	Pramod Viswanath, David N. C.	June 2002	’235 Patent

<u>Name</u>	<u>Author or Publisher</u>	<u>Publication Date</u>	<u>Asserted Patent</u>
IEEE Trans. on Information Theory 1277 (“Viswanath”)	Tse, Rajiv Laroia		
Feedback Assisted Multi-Antenna Transmission Weight Adaptation for Wireless Communications (“Banister 2002”)	Brian Clarke Banister	2002	’235 Patent
“Channel Estimation Using Pilot Tones in OFDM System,” IEEE Transactions on Broadcasting, Vol. 45, No. 4, (pp. 400-409) (“Yeh”)	C.S. Yeh, Y. Lim	December 1999	’369 Patent
Digital Beamforming in Wireless Communications (“Litva”)	John Litva, Titus Kwok Yeung Lo	August 31, 1996	’369 Patent ’511 Patent ’235 Patent
“Wireless Communications,” Andreas F. Molisch, published November 23, 2010 (2nd edition), John Wiley & Sons, Ltd. (1st edition published January 1, 2005) (“Molisch”)	Andreas Molisch	November 23, 2010	’511 Patent
3GPP TR 36.912 v. 9.3.0 (2010-06) 3rd Generation Partnership Project; Technical Specification Group Radio Access Network; Feasibility study for Further Advancements for EUTRA (LTE-Advanced) (Release 9)	3GPP	June 2010	’511 Patent
3GPP TR 36.912 v. 2.0.0 (2009-08) 3rd Generation Partnership Project; Technical Specification Group Radio Access Network; Feasibility study for Further Advancements for EUTRA (LTE-Advanced) (Release 9)	3GPP	August 2009	’511 Patent



<u>Name</u>	<u>Author or Publisher</u>	<u>Publication Date</u>	<u>Asserted Patent</u>
“WiMAX RF MxFE Transceiver, AD9352-5,” Data Sheet, Analog Devices, 2008 (“AD9352-5”)	Analog Devices	2008	’511 Patent
“WiMAX RF MxFE Transceiver, AD9353,” Data Sheet, Analog Devices, 2007 (“AD9353”)	Analog Devices	2007	’511 Patent
“WiMAX/WiBro RF MxFE MISO Transceiver, AD9355,” Data Sheet, Analog Devices, 2008-2009 (“AD9355”)	Analog Devices	2009	’511 Patent
“WiMAX/WiBro RF MxFE MISO Transceiver, AD9354,” Data Sheet, Analog Devices, 2008-2009 (“AD9354”)	Analog Devices	2009	’511 Patent
“WiMAX/BWA/WiBRO/LTE RF MxFE 2×2 MIMO Transceiver, AD9356,” Data Sheet, Analog Devices, 2010 (“AD9356”)	Analog Devices	2010	’511 Patent
“WiMAX/BWA/LTE RF MxFE 2×2 MIMO Transceiver, AD9357,” Data Sheet, Analog Devices, 2010 (“AD9357”)	Analog Devices	2010	’511 Patent
“WiMAX/WiBro RF MxFE Transceiver, AD9352,” Data Sheet, Analog Devices, 2007 (“AD9352”)	Analog Devices	2007	’511 Patent
“Dual Polarized Aperture Coupled Circular Patch Antenna Using a C-Shaped Coupling Slot,” IEEE Transactions on Antennas and Propagation, vol. 51, No. 12, Dec. 2003, pp. 3295-3298 (“Padhi”)	S.K. Padhi	December 2003	’511 Patent

<u>Name</u>	<u>Author or Publisher</u>	<u>Publication Date</u>	<u>Asserted Patent</u>
“DAN2400-PTP-Open SoC Platform for Sub-6GHz Wireless Point-to-Point Systems,” DesignArt Networks, Jul. 2008, 2 pages (“DAN-2400”)	Design Arts Networks	July 2008	’511 Patent

## II. PERSONS HAVING KNOWLEDGE

<u>Name</u>	<u>Address</u>
Dr. Kevin Negus	Contact through outside counsel for Defendants/Ericsson
Dr. Tim Williams	Contact through outside counsel for XR
Dr. Todor Cooklev	Contact through outside counsel for XR

Also, the named inventors of each of the prior art patents and patent publications and the authors of the prior art publications are also persons having knowledge and/or are prior inventors.

## III. BACKGROUND ITEMS AND/OR OTHER INFORMATION

In addition to the teachings and knowledge demonstrated in the above identified documents, Defendants/Ericsson provide notice of the following background items and/or other information to be relied upon in explaining the state of the art, the level of ordinary skill in the art, background of the technology at issue, terms or components in a reference above, motivation to combine, and the prosecution history of the Asserted Patents.

<u>Background Items</u>
Prior art listed in the file history of the Asserted Patents, or that of any related foreign or domestic patent applications
HOMERF
GSM
IS-95
WCDMA
CDMA2000

<b><u>Background Items</u></b>
IEEE 802.11-1999
IEEE 802.11a-1999
IEEE 802.11b-1999
IEEE 802.11f-2003
IEEE 802.11g-2003
IEEE 802.11h-2003
IEEE 1000: The Authoritative Dictionary of IEEE Standards Terms, Seventh Edition (IEEE 2000), p. 859 (definition of “pre-emphasis”)
Smart Antennas for Dummies (Author: Arild Jacobsen, ISBN: 82-423-0388-6)
Gerard. J. Foschini (October 1996), “Layered Space-Time Architecture for Wireless Communication in a Fading Environment When Using Multi-Element Antennas,” Bell Laboratories Technical Journal: 41–59.
P. W. Wolniansky; G. J. Foschini; G. D. Golden; R. A. Valenzuela (September 1998), “V-BLAST: An Architecture for Realizing Very High Data Rates Over the Rich-Scattering Wireless Channel,” Proc. URSI ISSSE: 295–300.
U.S. 4,750,147
U.S. 4,965,732
U.S. 5,515,378
U.S. 5,546,090
US 5,642,353
U.S. 5,553,074
U.S. 5,697,066
U.S. 5,739,788
U.S. 5,886,988
U.S. 5,890,067
U.S. 6,006,110
U.S. 6,067,290
U.S. 6,101,399
U.S. 6,219,561
U.S. 6,330,460
U.S. 6,351,499
U.S. 6,564,036
U.S. 6,665,545
U.S. 6,687,492
U.S. 6,795,409
U.S. 7,130,662
U.S. 7,212,499
U.S. 6,301,238
U.S. 6,621,454
WO00/072464
WO01/010156
WO03/075396
WO97/00543

<b><u>Background Items</u></b>
WO97/33388
WO98/18271
“Adaptive Transmitting antenna arrays at the Base Station in Mobile Radio Networks,” Derek Gerlach
A.S. Acampora, S.V. Krishnamurthy, and M. Zorzi, “Media Access Protocols for Use with Smart Adaptive Array Antennas to Enable Wireless Multimedia Communications” Wireless Networks, Springer-Verlag, 1998.
Z.-S. Zhang and A.S. Acampora, “Performance of a Modified Polling Strategy for Broadband Wireless Access in a Harsh Fading Environment,” Telecommunication Systems, Vol. 1, No. 3, 1993.
A. Acampora and S Krishnamurthy, “A New Adaptive MAC Protocol for Broadband Packet Networks in Harsh Fading and Interference Environments”, IEEE/ACM Transactions on Networking, Vol. 8, No. 3, June 2000.
S.V. Krishnamurthy, A.S. Acampora, and M. Zorzi, “Polling-Based Media Access Protocols for Use with Smart Adaptive Array Antennas,” IEEE/ACM Trans. Networking Vol. 9, No. 2, April 2001.
Z. Zhang and A.S. Acampora, “Performance of a Modified Polling Strategy for Broadband Wireless LANs in a Harsh Fading Environment,” IEEE GLOBECOM '91 Conference Record, Dec. 1991, Phoenix.
S. Krishnamurthy, A. S. Acampora, and M. Zorzi, “Polling Based Media Access Protocols for Use With Smart Adaptive Array Antennas”, Conference Record, International Conference on Universal Personal Communications, Florence, Oct., 1998.
A. S. Acampora and S. V. Krishnamurthy, “A New Adaptive MAC Layer Protocol for Wireless ATM Networks in Harsh Fading and Interference Environments,” IEEE Intl. Conf. Universal Personal Comm., San Diego, Oct. 1997.
A.S. Acampora and J.H. Winters, “A Wireless Network for Wide-Band Indoor Communications,” JSAC, Vol. 5, No. 5, 1987.
A.S. Acampora and J.H. Winters, “System Applications for wireless Indoor Communications,” IEEE Communications Magazine, Vol. 25, No. 8, 1987.
Yamaguchi et al., “4 GHz 8x8 Switch Matrix for SDMA System,” Microwave Symposium, 1975 IEEE-MTT-S International.
Gerlach et al., “Spectrum Reuse Using Transmitting Antenna Arrays with Feedback,” Acoustics, Speech, and Signal Processing, ICASSP, 1994.
S. Krishnamurthy, A. S. Acampora, and M. Zorzi, “Polling Based Media Access Protocols for Use With Smart Adaptive Array Antennas”, Conference Record, International Conference on Universal Personal Communications, Florence, Oct., 1998.
A. S. Acampora and S. V. Krishnamurthy, “A New Adaptive MAC Layer Protocol for Wireless ATM Networks in Harsh Fading and Interference Environments,” IEEE Intl. Conf. Universal Personal Comm., San Diego, Oct. 1997.
Gerlach et al., “Adaptive Transmitting Antenna Arrays with Feedback,” IEEE Signal Processing Letters, Oct. 1994.
Gerlach et al., “Base Station Transmitting Antenna Arrays for Multipath Environments,” Signal Processing, Oct. 1996.

<b><u>Background Items</u></b>
Foschini et al., "On Limits of Wireless Communications in a Fading Environment when Using Multiple Antennas," <i>Wireless Personal Communications</i> , 1998.
A. J. Paulraj and C. B. Papdias, "Space-Time Processing for Wireless Communications," IEEE Signal Processing Magazine, pp. 49-83, November 1997.
U.S. 7,529,305
S. Anderson et al., "An Adaptive Array for Mobile Communication Systems," IEEE Transactions on Vehicular Technology, Vol. 40, No. 1, February 1991.
G. Foschini et al., "BLAST Bell Labs Layered Space Time", Bell Labs.
L.H. Dean Chapman "First - Hand: Sidelobe Cancellers and the Like" <a href="http://ethw.org/First-Hand:Sidelobe_Cancellers_and_the_Like">http://ethw.org/First-Hand:Sidelobe_Cancellers_and_the_Like</a> Apr. 122017 4 pagesburg and A.D. Wyner, "Capacity of the Gaussian Channel with Memory, The Multivariate Case", The Bell System Technical Journal, Vol. 53, Issue 5, May-June 1974.
D. Chapman, "First-Hand: Sidelobe Cancellers and the Like", IEEE,
A.J. Fenn, et al., "The Development of Phased-Array Radar Technology", Lincoln Laboratory Journal Vol. 12, Number 2.
G.J. Foschini, "Layered Space-Time Architecture for Wireless Communication in a Fading Environment when Using Multi-Element Antennas", Bell Labs Technical Journal.
A.R. Kaye, "Transmission of Multiplexed PAM Signals Over Multiple Channel and Diversity Systems", IEEE Transaction on Communications, Vol. 18, No. 5.
G. Raleigh, et al., "Characterization of Fast Fading Vector Channels for Multi-Antenna Communication Systems", IEEE.
G.G. Raleigh, et al., "Spatio-Temporal Coding for Wireless Communications", IEEE Transactions on Communications, Vol. 46, No. 3.
D.O. Reudink, et al., "A Scanning Spot-Beam Satellite System", The Bell System Technical Journal.
S.C. Swales, et al., "Multi-Beam Adaptive Base-Station Antennas for Cellular Land Mobile Radio Systems", IEEE.
W. Van Etten, "Maximum Likelihood Receiver for Multiple Channel Transmission Systems", IEEE Transactions on Communications.
J.H. Winters, "Optimum Combining in Digital Mobile Radio with Cochanel Interference", IEEE Journal on Selected Areas in Communications, Vol. SAC-2, No. 4.
U.S. 5,345,599, A.J. Paulraj, et al., "Increasing capacity in wireless broadcast systems using distributed transmission/directional reception (DTDR)", Sept. 6, 1994.
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U.S. 5,642,353, R.H. Roy III, et al., "Spatial Division Multiple Access Wireless Communication Systems", June 24, 1997.
M. Cooper, "A Layman's Guide to Cellular", Annual Review of Communications.
R.H. Roy, "Application of Smart Antenna Technology in Wireless Communication Systems".
B. Ottersten, "Array Processing for Wireless Communications".
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"Intellicell: A Fully Adaptive Approach to Smart Antennas" ArrayComm, Inc., 2002

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Commercial Deployment of Adaptive Antennas, Spectrum Management 2003, May 20-21, 2003
D. Nowicki, et al., "Smart Antenna Strategies", Mobile Communication International, April 1995.
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K.R. Dandekar, et al., "Modeling And Prediction Of The Wireless Vector Channel Encountered By Smart Antenna Systems", Microwave And Optical Technology Letters, Vol. 35, No. 4, November 20, 2002.
S..C. Swales, et al., "Multi-Beam Adaptive Base-Station Antennas For Cellular Land Mobile Radio Systems", 1989 IEEE.
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J.H. Winters, et al., "The Impact of Antenna Diversity on the Capacity of Wireless Communication Systems", IEEE Transactions on Communications, Vol. 42, No. 2/3/4, February/March/April 1994.
H. Li, et al., "Transmission Optimization Over Flat Rayleigh Fading channel with Multiple Antennas", 1999 IEEE.
A. Kavak, et al., "Vector Channels for Smart Antennas - Measurements, Statistical Modeling, and Directional Properties in Outdoor Environments", IEEE Transactions On Microwave Theory And Techniques, Vol, 48, No. 6, June 2000.
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US 5,687,194 E. Paneth, et al., "Subscriber RF Telephone System For Providing Multiple Speech And/Or Data Signals Simultaneously Over Either A Single Or A Plurality Of RF Channels".

<b><u>Background Items</u></b>
U.S. 5,828,658, B.E. Ottersten, et al., "Spectrally Efficient High Capacity Wireless Communication Systems With Spatio-Temporal Processing", Oct. 27, 1998.
J. Liang & A. Paulraj, "Forward link antenna diversity using feedback for indoor communication systems", IEEE, 1995 International Conference on Acoustics, Speech, and Signal Processing, Vol. 3, pp. 1753-5
D. Gerlach & A. Paulraj, "Base station transmitter antenna arrays with mobile to base feedback", IEEE, Proceedings of 27th Asilomar Conference on Signals, Systems and Computers, Vol. 2, pp. 1432-6
A. Naguib, A. Paulraj, & T. Kailath, "Capacity improvement of base-station antenna arrays cellular CDMA", IEEE, Proceedings of 27th Asilomar Conference on Signals, Systems and Computers, Vol. 2, pp. 1437-41
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**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that counsel of record who are deemed to have consented to electronic services are being served with a copy of this document via the Court's CM/ECF system per Local Rule CV-5(a)(3) on August 11, 2025.

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